

Correlations between Modes of Student Cognitive Engagement and Instructional Practices in Undergraduate STEM Courses

Abstract

Background: Within STEM education, research on instructional practices has focused on ways to increase student engagement and thereby reap the associated benefits of increased learning, persistence, and academic success. These meaningful-learning goals have been tied most specifically to cognitive engagement, a construct that is often difficult for instructors to assess on their own. While it has been shown that certain instructional practices are tied to higher cognitive engagement in students, tools to measure instructional practices and student engagement have remained largely isolated in their development and use.

Results: This research uses previously developed instruments to simultaneously assess modes of cognitive engagement in students (Student Course Cognitive Engagement Instrument [SCCEI]) and instructional practices (Postsecondary Instructional Practices Survey [PIPS]) within a course. A sample of 19 STEM courses were recruited to participate in this study, with instructors and students each self-reporting data. Results from instructor and students in each course were scored, and ANOVAs and partial correlation analysis were conducted on the sample. ANOVAs indicated the significance of instructor tenure status and classroom structure on student engagement. From the correlation analysis, a significant relationship was found between four student-reported modes of cognitive engagement and instructor-reported teaching practices.

Conclusions: With an understanding of student engagement response to tenure and classroom structure, instructors may consider their teaching environment when implementing instructional practices. Moreover, Interactivity with Peers, the deepest mode of cognitive engagement suggested by previous research, was correlated with instructional practices in our study, suggesting that instructors may be able to shape their students' learning by encouraging collaboration in the

classroom. We also found that assessment played a role in students' cognitive engagement; this indicates that instructors may wish to thoughtfully consider their methods of assessment to facilitate modes of cognitive engagement associated with deeper learning of course material. By understanding factor correlations, the PIPS and SCCEI can be used in tandem to understand impacts of instructional practices on student cognitive engagement within a course. We conclude that there is a need for ongoing research to study the interplay of instructional practices and student cognitive engagement as instruments are developed to measure such phenomena.

Key words: Student cognitive engagement, instructional practices, self-report measurement, partial correlation analysis

Introduction

STEM education research aims to benefit students in science, technology, engineering, and math disciplines. Student engagement and its relationship with instructional practices is deeply influential in understanding how to better students' learning experiences. As we seek to offer an understanding of the interplay of instructional practices and student engagement, we first present the relevant literature related to each. We also address the ways in which the literature suggests a relationship between instructional practices and engagement, and the need for further empirical evidence of how the two are correlated.

Cognitive Engagement

STEM education communities are interested in better understanding student engagement, as it has been hallmarked as a key factor in increased student grades, retention, and knowledge gains (Freeman et al., 2014; Prince, 2004). Engagement is a multi-dimensional construct (Appleton, Christenson, Kim, & Reschly, 2006), that is often best understood to be comprised of several key components: behavioral, emotional, and cognitive (Fredricks, Blumenfeld, & Paris, 2004). Behavioral engagement can be thought of a student's active involvement in learning and course tasks (Sinatra, Heddy, & Lombardi, 2015). This active involvement is observable, and includes student's participatory behaviors and adherence to rules (Fredricks et al., 2004). Emotional engagement is defined by a student's affective or emotional responses to an academic subject or course (Fredricks et al., 2004). Positive and negative emotions have been shown to play an important role in choices surrounding emotional engagement. Like behavioral engagement, some of these positive or negative emotional engagement choices are external (Pekrun, 2006), and can be observed as happiness, sadness, interest or boredom (Fredricks et al., 2004). Cognitive engagement is more abstractly defined in part by students' psychological investment and

motivation (Sinatra et al., 2015). While the construct of cognitive engagement is more difficult to clearly define and measure, it has been shown to have positive influence on student performance, persistence, and goal orientation (Appleton et al., 2006; Meece, Blumenfeld, & Hoyle, 1988)

A prominent framework for assessing cognitive engagement was pioneered by Chi in 2009, when she first began to establish different modes of cognitive engagement based on observable behaviors in students. Later, Chi and Wylie published the ICAP framework, which empowered educators to observe their students and interpret their cognitive engagement as one of four modes: **I**nteractive, **C**onstructive, **A**ctive, or **P**assive (2014). Our recent work focused on the development of an instrument that utilized the ICAP observational schema in a self-report instrument (Authors et al., in review) This instrument, the Student Course Cognitive Engagement Instrument (SCCEI) was validated for engagement of STEM students related to a specific course. We prompted students to reflect on both their behaviors and cognition, finding that students responded consistently to five factors: Interactivity with Peers, Constructive Notetaking, Active Processing, Active Notetaking, and Passive Processing. The SCCEI is intended to provide STEM educators with a depiction of how students are cognitively responding to their instructional practices.

Instructional Practices

Researchers in STEM education have spent significant effort on uncovering what factors within a classroom influence a student's engagement (e.g. see Felder & Brent, 2005; Heller, Beil, Dam, & Haerum, 2007, 2010; Ohland et al., 2008; Stump, Hilpert, Husman, Chung, & Kim, 2011). It has been shown that instructional practices often play a central role; the structure of exams, lectures, and student interactions have all been shown to influence the engagement of students (Nicol & Macfarlane-Dick, 2006; Prince, 2004; Wang, Yang, Wen, Koedinger, & Rosé, 2015). Problem-based learning, cooperative learning, and flipped classrooms have likewise all been

researched for their impact on student engagement (Dard, Lison, Dalle, & Boutin, 2010; Prince, 2004; Smith, Sheppard, Johnson, & Johnson, 2005). Yet, it remains important for instructors to consider the practices of their own classrooms, even those that do not fit clearly within the bounds of a particular strategy. To do so, educators can be asked to report on their own instructional practices surrounding factors notably important to engagement, including assessment, student interactions, and delivery of the content.

Methods of assessing instructional practices include student surveys, self-report surveys, interviews, class observations, and artifact analysis (American Association for the Advancement of Science [AAAS], 2012). Self-report has the unique advantage of collecting data from the perspective of the instructors, who have power to enact change in their own classroom. A review of 12 prominent instructor self-report instruments was conducted by Williams et al. in 2015. They found half (6) of the instruments were related to specific disciplines, while the others had been validated in a variety of STEM disciplines. Broader instruments either emphasized teaching specifically, or teaching and other elements of faculty work (Williams, Walter, Henderson, & Beach, 2015). The **Postsecondary Instructional Practices Survey (PIPS)** (Walter, Henderson, Beach, & Williams, 2016) is an instrument intended to span all disciplines that was found to focus most heavily on instructional practices (Williams et al., 2015).

PIPS is a validated, externally reviewed instrument composed of 24 instructional practice related items (Walter et al., 2016). Validation studies at a broad range of institutions and departments support a breakdown of items into either 2 or 5-factor models. Authors suggest that the 5-factor solution is most appropriate when more details on the instructional practices of a participant is valuable (Walter et al., 2016). These factors are: Student-Student Interactions, Content Delivery, Student-Content Engagement, Formative Assessment, and Summative

Assessment. Within the original study, the PIPS was used to understand correlations between teaching practices and class size, instructor gender, and years of teaching experience (Walter et al., 2016). Though authors acknowledge that some of their constructs are intended to reflect how engaging the practices of the instructor are (see *Student-Content Engagement* of the 5-factor model), PIPS has yet to be used in conjunction with measures of student cognitive engagement.

Environmental Factors' Relationship to Instructional Practices and Student Engagement

When considering student cognitive engagement, it has been important to consider the context in which students are asked to engage. Past research suggests that students are impacted by their environment, including the tenure status of their instructors and the physical structure of the classroom (Lund et al., 2015). Here, we consider the relationship of both the physical structure of the classroom and instructor tenure status to the SCCEI modes of student engagement; consideration of such relationships provides further insight as to how student reports may be responsive to these course features.

Both the instructor and the student have potential to be influenced by the classroom structure. Research has suggested that the implementation of student-centered instructional practices may be limited by classroom structure (Henderson & Dancy, 2007). Though classroom layout can be perceived as a barrier, it is not systematically tied to instructional practices that feature student-centered learning (Bathgate et al., 2019; Stains et al., 2018). Some have gone on to suggest instructors may be less likely to abandon newly-adopted active learning based instructional practices when the physical structure of their classroom is modified to specifically accommodate the teaching style (Knaub, Foote, Henderson, Dancy, & Beichner, 2016). When considering how students respond to classroom structure, Foote et al. indicated that studio classrooms are associated with higher levels of active learning (2014). Yet, others have suggested

that student-student interactions can be facilitated even in classrooms with fixed, amphitheater-style seating (Lund et al., 2015). With some discrepancy in the literature, it becomes important to better understand how reported modes of student engagement are related to the classroom structure in which they learn.

When considering instructional change, it has been shown that the tenure status of an instructor shapes how they enact change in their classroom. One study found that the authority of instructors with tenure status may impede non-tenured instructors from implementing changes in their instructional practices (Quardokus Fisher, Sitomer, Bouwma-Gearhart, & Koretsky, 2019). Others have shown that beliefs about institutional support of teaching practices and balance between teaching and research differ along lines of instructor tenure (Landrum, Viskupic, Shadle, & Bullock, 2017). It likewise stands to reason that students will have a different course experience based on the tenure status of their instructor. In this work, we explored how classroom structure and tenure status explained variance in the SCCEI factor scores; this was done as a precursor to understanding the correlations between the two instruments.

Correlations between Cognitive Engagement and Instructional Practices

While the PIPS has yet to be used in conjunction with measures of student cognitive engagement, factors within the instrument suggest its relevance to engagement. *Student-Student Interactions* have been studied in the past through collaborative learning environments, finding that there are many circumstances when learning together is beneficial (Nokes-Malach, Richey, & Gadgil, 2015). This aligns with ICAP and the SCCEI, which posit that Interactive Engagement is the most sophisticated and beneficial for student learning (Chi & Wylie, 2014). *Student-Content Engagement* as measured by PIPS is what the ICAP framework was originally intended to measure—exploring how students make choices to cognitively engage with course content (Chi,

2009). Educators can design and redesign curriculum to increase student engagement, yet there is often far too little information available about what mode of engagement is achieved with a given assignment. Research continues to assess how *Content Delivery Practices* impact cognitive engagement, including the influence of flipped versus traditional lecture courses, project or problem based learning, and online course offerings. Finally, the *Formative* and *Summative Assessment* factors have notable relationships with cognitive engagement, including how students choose levels of sophistication to match those with which they are tested.

Overview of Research

We see each factor of the PIPS as poised to reveal aspects of student cognitive engagement. Missing from the literature is an empirical correlation between modes of cognitive engagement and instructional practices as measured by instruments with evidence of validity. There also exists an lack of empirical evidence that explains how various levels of tenure status or classroom structure explain the variance in the mode at which students cognitively engage. We therefore sought to answer the questions: (1) *How does instructor tenure status and classroom structure differentiate modes of student engagement?* and (2) *What are the correlations between SCCEI modes of cognitive engagement and PIPS factors of instructional practices?* Our aim was that educators may be empowered to make changes in instructional practices in their course with knowledge of how their practices correlate with student's cognitive engagement, as well as have an understanding of how contextual features of tenure and classroom structure may impact their change efforts. To answer Research Question (1), we conducted ANOVAs and generated data visualizations to represent the means of each of the SCCEI factors' correspondence with instructor tenure status and class structure. To address Research Question (2), we utilized partial correlation analysis to understand the relationship between instructional practices and modes of student

engagement. We scored instructional practices using the PIPS and students' modes of engagement using the SCCEI; the partial correlation analysis allowed us to understand the correlations between the two instruments' factors within a course. Results indicate that there is statistical significance for students' mode of cognitive engagement with instructor tenure status and course structure.

Methods

The methods section below describes participant selection and data analysis. We also outline our sampling strategy, overview the function of the two instruments, and present the items of the PIPS and SCCEI.

Sampling

We aimed to recruit STEM courses from a variety of institutions that differed in their course level, classroom structure, and primary means of instructional practice. In all, over 100 courses were recruited for participation in this study from universities and community colleges across the United States. The sample began as a sample of convenience and was followed by snowball sampling (Berg & Lune, 2014). Instructors were recruited via email from the research team for participation in the study. Of the 100+ courses recruited, 37 indicated their interest in participating in the study. Once an instructor agreed to participate, all students in the course were recruited for participation in the study via course webpage. In this way, both the instructor and students in the class were considered participants in the study. Thirty-seven courses distributed the SSCEI to their students, and of those, 19 courses generated response rates greater than 10% and were included in the final study. There were 645 student responses to the SCCEI, with an overall response rate of 58%; average response rate of students was 51% with a standard deviation of 32%. The demographics of the courses in the study can be found in **Table 1**, and a summary of the student demographics can be found in **Table 2**.

Table 1: Summary of course demographics.

<i>Course</i>	<i>Discipline</i>	<i>Instructor Gender</i>	<i>Instructor Rank</i>	<i>No. of Students</i>	<i>Response Rate</i>
1	Civil and Construction Engr.	Male	Tenured	223	59%
2	Civil and Construction Engr.	Male	Tenured	116	100%
3	Civil and Construction Engr.	Male	Tenured	61	10%
4	Engr. Education	Female	Untenured, on tenure track	33	36%
5	Aerospace Engr.	Female	Untenured, on tenure track	62	77%
6	Civil Engr.	Female	Tenured	43	72%
7	Civil and Enviro. Engr.	Male	Tenured	39	28%
8	Civil and Construction Engr.	Female	Tenured	50	96%
9	Mech., Indst., & Mfg. Engr.	Male	Tenured	45	13%
10	Engineering and Manufacturing	Male	Tenured	33	18%
11	Civil Engr.	Male	Untenured, on tenure track	49	67%
12	Chemistry	Female	Untenured, not on track	96	99%
13	Biochemistry and Biophysics	Female	Untenured, not on track	24	33%
14	Civil and Construction Engr.	Male	Tenured	20	35%
15	Electrical Engr. & Computer Sci.	Male	Tenured	30	20%
16	Chem., Bio., & Enviro. Engr.	Female	Tenured	56	59%
17	Mathematics	Male	Tenured	34	24%
18	Chem., Bio., & Enviro. Engr.	Female	Untenured, not on track	62	19%
19	Engr. Science	Male	Untenured, not on track	30	93%

Table 2: Summary of student population demographics.

	Study Population (%)
<i>Student Academic Level</i>	
Freshman	17%
Sophomore	13%
Junior	40%
Senior	29%
<i>Student Gender</i>	
Male	79%
Female	20%
Nonbinary	1%
<i>Student Race and Ethnicity*</i>	
Caucasian	64%
Asian	16%
Hispanic/Latinx	11%
Black/African American	4%
Pacific Islander	3%
Native American	2%

*For *Race and Ethnicity*, multiple options could be selected. All demographic information collected was optional.

Notes on Instrument Use

Both the PIPS and the SCCEI underwent a development process to ensure that a set of items measured a single construct, or factor. Detailed information can be found on the evidence of validity for the PIPS and SCCEI instruments elsewhere (Authors et al., in review; Walter et al., 2016). The factors of both the PIPS and SCCEI were derived using oblique rotations, meaning that there is an assumed correlation between factors (e.g. Interactive Engagement is assumed to correlate somewhat with Constructive Notetaking, and Content Delivery Practices with Formative Assessment, etc.). The focus of our research was to explore correlations of factors across the two instruments; we therefore do not present correlation of factors within instruments. Analysis utilized to develop factors (exploratory and confirmatory factor analyses [EFA], [CFA]) assumed normal distribution and linearity in the data. While we test the relevance of such assumptions here, we rely on the more robust samples present in the original studies for assumptions of normality and linearity of factors.

Measure of Instructional Practices

The PIPS facilitated instructor self-report along five distinct factors: Student-Student Interaction, Content Delivery, Student-Content Engagement, Formative Assessment, Summative Assessment (Walter et al., 2016). Each factor of PIPS is comprised of multiple items to measure alignment with a given construct. The factor Student-Student Interaction contains items that measure how instructors facilitate students' interaction with one another in the classroom, including both the structure of the course and the required activities of students. Content Delivery items relate to how instructors translate information to students, particularly through how the course is structured. Student-Content Engagement measures how instructors provide students with

actives in the course from which they can reflect or make meaning of the material. Formative and Summative Assessment factors each address how students are tested within a course; Formative Assessment indicates testing that offers feedback to both instructors and students to shape the trajectory of learning whereas Summative Assessment measures formal testing and grading within a course. The items and descriptions as they relate to each of the five PIPS factors can be seen in **Table 3** below.

Instructors were given access to the PIPS via Qualtrics (2005), an online survey platform. Each instructor was directed to respond to items with regards to a single term of a single course (the same course where students completed the SCCEI). The PIPS was deployed to instructors when the term was approximately 75% completed. This allowed instructors to reflect on a term of a course without generating undue pressure at the completion of the term. A randomized order of items was used to minimize fatigue effect. The response scale was the original scale from the study—a 5-point Likert; a score of zero was given to *not at all descriptive of my teaching*, with values increasing by one up to a score of four for *very descriptive of my teaching*. Walter et al. explicitly indicate the ways in which the PIPS is to be scored: values relating to items for each factor are summed, then divided by the total value possible for that factor. Thus, for each factor, faculty members were given a score between 0 and 100 (i.e. a percent alignment with the factor). No items required reverse coding. Higher scores were indicative of a *more descriptive* fit of the factor, not necessarily more preferable for engagement.

Table 3: The five-factor model of the PIPS survey.

<i>Factor</i>	<i>Description</i>	<i>Question/Prompt</i>
Student-student interactions	<i>Practices that describe interactions among students in class</i>	<p>I structure class so that students explore or discuss their understanding of new concepts before formal instruction.</p> <p>I structure class so that students regularly talk with one another about course concepts.</p> <p>I structure class so that students constructively criticize one another's ideas.</p> <p>I structure class so that students discuss the difficulties they have with this subject with other students.</p> <p>I require students to work together in small groups.</p> <p>I require students to make connections between related ideas or concepts when completing assignments.</p>
Student-content engagement	<i>Actions in which students manipulate or generate learning materials or products beyond what was provided by the instructor (similar to active and constructive elements noted by Chi and Wylie, 2014)</i>	<p>I design activities that connect course content to my students' lives and future work.</p> <p>I frequently ask students to respond to questions during class time</p> <p>I have students use a variety of means (models, drawings, graphs, symbols, simulations, etc.) to represent phenomena.</p> <p>I structure problems so that students consider multiple approaches to finding a solution.</p> <p>I provide time for students to reflect about the processes they use to solve problems</p>
Content Delivery Practices	<i>Practices that describe or influence how the instructor transmits information to the students</i>	<p>I guide students through major topics as they listen and take notes.</p> <p>My syllabus contains the specific topics that will be covered in every class session.</p> <p>I structure my course with the assumption that most of the students have little useful knowledge of the topics.</p> <p>My class sessions are structured to give students a good set of notes</p>
Formative Assessment	<i>Actions to monitor student learning that provide feedback to the instructor to inform teaching and/or to students to inform their learning</i>	<p>I provide students with immediate feedback on their work during class (e.g., student response systems, short quizzes)</p> <p>I use student assessment results to guide the direction of my instruction during the semester</p> <p>I use student questions and comments to determine the focus and direction of classroom discussion.</p> <p>I give students frequent assignments worth a small portion of their grade.</p> <p>I provide feedback on student assignments without assigning a formal grade.</p>
Summative Assessment	<i>Actions for formal evaluation of student learning, including grading policies</i>	<p>My test questions focus on important facts and definitions from the course.</p> <p>My test questions require students to apply course concepts to unfamiliar situations.</p> <p>My test questions contain well-defined problems with one correct solution.</p> <p>I adjust student scores (e.g. curve) when necessary to reflect a proper distribution of grades.</p>

In addition to questions related to instructional practices, the validated PIPS also asked instructors to identify their tenure status (*tenured, untenured on track, untenured not on track*). Similar to the way the PIPS development sought to explore relationships between responses to their instrument and tenure status (Walter et al., 2016), we sought to explore the relationship between tenure status and SCCEI scores. Furthermore, an additional question was added to the instructor instrument to better understand the physical structure of the classroom in which instruction took place. Instructors were asked *what is the physical structure of the course's primary classroom?*, and were given the options of *individual desks, students facing instructor; rows of tables, students facing instructor; pods of desks/tables, students facing other students*. Previous work provided similar responses for reporting of classroom structure (Lund et al., 2015). The questions facilitated an initial study of the relationship between student cognitive engagement and physical classroom structure.

Measure of Student Modes of Cognitive Engagement

In addition to the measurement of instructional practices, we sought to measure students' cognitive engagement at the course-by-course level. To understand modes of cognitive engagement of students within a course, it is important to clearly define differentiable modes. The ICAP framework provides a foundational understanding of modes of cognitive engagement (Chi & Wylie, 2014), with slight modifications being included from student self-report findings (Authors et al., in review). Interactive Engagement or Interactivity with Peers references a dialogue between two students in which they add further definition to a course construct via an equally-participatory conversation (Chi & Wylie, 2014). Interactively Engaged students will co-create knowledge, and report high alignment to *I discuss my position with others regarding the course*

content. Constructively engaged students will generate knowledge beyond that which is presented to them in a course. The SCCEI measures Constructive Engagement as students take notes (Constructive Notetaking); these students will integrate information and have a high alignment with *I add my own notes to the notes provided by the teacher*. Active Engagement, according to Chi and Wylie, requires focused attention and a basic level of information manipulation (i.e. underlining or highlighting) (2014). Work from the SCCEI measures two components of Active Engagement: Active Notetaking, and Active Processing. Active Notetaking is related to overt activities during notetaking that are indicative of an underlying cognitive state, including statements of *I take verbatim notes (meaning word for word directly from the board/PowerPoint slide/doc camera etc.)* (Authors et al., in review). Active Processing is directly related to students' reports on their own cognition, where *I think about previous concepts covered in the course* would be reported with high alignment. Active Processing highlights the *focused attention* component of Chi and Wylie's definition, while Active Notetaking emphasizes the *basic information manipulation*. Passive Engagement is an orientation towards and receiving from the course content (Chi & Wylie, 2014). Passively Engaged students will listen without doing anything else, and report *I listen when my teacher or whomever is speaking*.

Both the SCCEI and the original ICAP framework proposed by Chi & Wylie assume that students who are more deeply cognitively engaged will fall into Interactive Engagement, while students who are less cognitively engaged will be considered Passively Engaged. In this sense, the student measurement assigns a value assessment to modes of engagement: Interactive > Constructive > Active > Passive. The SCCEI differentiates modes of engagement along factors; items as they relate to each mode of engagement can be found in **Table 4**.

Students were given access to the survey via the course website and asked to participate by their instructors. Students were considered to be anonymous in their responses, yet some forwent anonymity to earn extra credit in their course (courses where extra credit was offered provided an equally weighted alternative extra credit assignment). The randomized survey was administered via Qualtrics (2005) when the term was approximately 75% complete.

We sought to determine how the reported instructional practices were related to the overall student cognitive engagement present in the course, as reported by students. Therefore courses, not students, were scored as a result of the SCCEI. Modes of engagement were measured by two, 3-point Likert scales. For each item, students were asked the frequency with which they behave/think in such a manner both inside and outside of the classroom. Students were scored only using the scale from previous instrument development studies—an in-class frequency scale. A score of zero corresponded with a low frequency (*few to no lecture periods*), while a score of two was given to the highest level of frequency (*most lecture periods*). For each course, five sums were generated, one for each of the cognitive engagement factors. These sums were then divided by the total possible score for each factor (the number of student responses times the total possible value in a given mode for each student). Similar to instructor survey scoring, courses also received scores ranging from 0 to 100 that pertained to their mode of engagement (i.e. their percent alignment with each factor). Data from instructor and survey data were then combined for further analysis.

Table 4: The SCCEI used to measure student cognitive engagement

<i>ICAP Category</i>	<i>Description</i>	<i>Items</i>
Interactivity with Peers	<i>Engagement activities that involve knowledge generation between two peers</i>	I defend my approach to others when discussing course content. I discuss my position with others regarding the course content. I explain concepts to others when discussing course content. I justify my perspective to others when discussing course content.
Constructive Notetaking	<i>Engagement activities where students generate knowledge in their notetaking</i>	I add my own notes to the notes provided by the teacher. My course notes include additional content to what the teacher provided. I add my own content to the course notes.
Active Notetaking	<i>Engagement activities of copying course material into personal notes</i>	I take verbatim notes (meaning word for word directly from the board/PowerPoint etc.) . I copy solution steps verbatim (meaning word for word directly from the board/PowerPoint etc.). I only copy the notes the teacher writes down.
Active Processing	<i>Cognitive manipulation of course material as it is presented where no new knowledge is generated</i>	I connect current concepts with previous course content. I apply current solution steps with previous course content. I think about previous concepts covered in the course. I consider how multiple ideas or concepts relate.
Passive Processing	<i>Cognitive orientation towards instruction taking place in the course</i>	I pay attention to my teacher or whomever is speaking. I follow along with my teacher or whomever is speaking when they discuss examples. I listen when my teacher or whomever is speaking. I follow along with the activities that take place during the course.

ANOVA Data Analysis

We sought to analyze the relationship between instructor tenure status and class structure with SCCEI scores; we did not consider the relationship of instructor tenure and class structure to PIPS scores due to the small sample of instructors present in this study. As each student generated a score for each of the five SCCEI factors, the study can be considered a crossover repeated measures experimental design (Ramsey & Schafer, 2002). Therefore, a two-way repeated measures analysis of variance (ANOVA) was chosen to individually evaluate the effect of faculty tenure status and physical classroom structure on student engagement scores across the five SCCEI

factors. Origin Pro 9.4, a statistical analysis software, was used to conduct ANOVA and post-hoc tests. A Tukey HSD post-hoc analysis was performed and allowed us to compare the mean engagement score differences pairwise for the three tenure statuses and three classroom structures within each of the five SCCEI factors. Significance was determined using these results. Additionally, visual representations were generated to present the means of each comparison.

Correlation Data Analysis

We posited that factors measured by the PIPS and SCCEI would indeed bear a relationship. To test this relationship, statistical analyses were considered for their relevance to the dataset. Correlation analysis is useful to symmetrically explore factors that are independent (Lindley, 1990). The PIPS asks instructors to report on their instructional practices and the SCCEI asks students to report on their cognitive engagement within the classroom. While related, the factors of these two instruments are independent; students were asked to report how they elected to engage in a course, not on how the instruction impacted their engagement. Therefore, a correlation analysis was utilized to determine the relationship between the PIPS and SCCEI factors. A partial correlation was selected—partial correlation is useful when it is desirable to remove the effect of a selected variable when determining the association of the remaining factors (Cohen, Cohen, West, & Aiken, 2003). In this analysis, the effect of class was removed in order to determine correlations between the PIPS and SCCEI factors across the sample.

SPSS version 25 was used to conduct a parametric correlation analysis. Parametric correlation uses Pearson's r as an indicator of significance, requiring normality and linearity in the dataset. Linearity was visually inspected with scatterplots of each factor dataset. Shapiro-Wilk was used to test for normality, as it has shown to be useful for small sample sizes ($n < 50$) (Razali &

Wah, 2011). Significance of the Shapiro-Wilk indicates non-normal distribution at the 95% confidence interval ($W < .05$) (Shapiro & Wilk, 1965).

Results and Discussion

Here, we present statistical evidence in the form of descriptive statistics, ANOVAs, and correlation analyses to answer the research questions: (1) *How does instructor tenure status and classroom structure differentiate modes of student engagement?* and (2) *What are the correlations between SCCEI modes of cognitive engagement and PIPS factors of instructional practices?*

Overview of Data

Presentation of the descriptive statistics serves a dual purpose: first, the reader is empowered with a foundational understanding of the dataset and how factors relate to one another; second, descriptive statistics provide support for the subsequent statistical testing on the dataset. Scores for each factor are presented as a percent alignment, with a zero-score indicating the factor does not at all describe the teaching practices (PIPS) or does not frequently occur in the class period (SCCEI). A score of 100 indicated perfect alignment with the factor. The n for all factors was 19, as each instructor generated a single score for each factor and each class of student respondents generated a single score for each factor. Descriptive statistics for each factor are presented in **Table 6** below.

PIPS factors showed relatively large standard deviations across all factors, averaging 18%. This indicates that the sample was relatively diverse in nature, particularly with respect to Content Delivery, Student-Student Interactions, and Summative Assessment; instructors showed substantial variation in their alignment to each factor. Formative Assessment and Student Content engagement were less diverse across the sample, suggesting that instructors in the study were more similarly aligned along these factors. Means remained relatively similar across factors, with

Student Content Engagement being notably higher than other factors. The Shapiro-Wilk value was not significant for any of the PIPS factors, indicating normal distribution of the dataset.

Standard deviations for SCCEI factors showed a general increasing trend; Passive Processing was found to have the smallest standard deviation while Constructive Notetaking had the greatest. This aligns with the underpinnings of the ICAP theory which is hierarchical in nature: students who are Constructively engaged would be Actively and Passively engaged as well (Chi & Wylie, 2014). Decreasing standard deviations would suggest that some students remain at a particular engagement level as modes of engagement increase in sophistication. Means of the SCCEI likewise reinforce this phenomenon, with substantially higher alignment with most basic modes of cognitive engagement (i.e. Passive Processing) and lower means with more sophisticated modes (i.e. Interactivity with peers). The Shapiro-Wilk value was significant only for Passive Processing. This indicates that within this sample, Passive Processing was not normally distributed. Visual inspection indicates a negative skew of responses, where students indicated consistent high alignment with Passive Processing. While some have affirmed that normality is an important to understanding the power of Pearson's significance (Kowalski, 1972), others have suggested normality is a needless assumption (Nefzger & Drasgow, 1957), particularly when samples do not largely deviate from normal (Edgell & Noon, 1984). Because our sample did not largely deviate from normal distribution, we proceeded with parametric correlation analysis, using Pearson's r to indicate significance.

Table 6: Descriptive statistics

	<i>Factor</i>	<i>Mean</i>	<i>St. Deviation</i>	<i>Shapiro-Wilk Sig.</i>
<i>PIPS Factors</i>	Student-Student Interactions	60.3	21.3	0.263
	Student Content Engagement	75.9	13.3	0.82
	Content Delivery	59.2	26.9	0.066
	Formative Assessment	57.0	12.2	0.255
	Summative Assessment	53.0	22.6	0.272
<i>SCCEI Factors</i>	Interactivity with Peers	51.3	12.7	0.971
	Constructive Notetaking	51.9	15.2	0.405
	Active Notetaking	60.5	14.0	0.103
	Active Processing	66.6	9.9	0.998
	Passive Processing	89.6	8.0	0.023

ANOVA Results

The descriptive statistics presented above represented the five student cognitive engagement factors of the SCCEI and the five instructional practice factors of the PIPS across all courses. The instructors of the courses studied varied in their tenure status and in the physical environment in which they taught. The mean difference in student engagement scores resulting from either the interaction of classroom structure or tenure status and the five SCCEI modes of engagement was evaluated using two-way repeated measures ANOVA. Descriptive statistics for data used in the ANOVA are presented in **Table 7**. A Greenhouse-Geisser corrected repeated measures ANOVA determined that there was a statistically significant effect on student engagement scores for both the interaction of classroom structure and SCCEI factors [$F(6.50, 2068.47) = 6.60, p < 0.001$] and tenure status and SCCEI factors [$F(6.47, 2056.22) = 8.94, p < 0.001$]. Results from the Tukey post-hoc analysis are presented in **Table 8**; bar graphs are presented to help visualize trends on the impact of course structure and tenure status on SCCEI scores.

Table 7: Descriptive statistics of ANOVA datasets

Variable	Differentiator	N	SCCEI Factor	Mean	Std. Error
<i>Tenure Status</i>	Tenured	406	Interactivity with Peers	45.197	1.305
			Constructive Notetaking	52.217	
			Active Processing	63.742	
			Active Notetaking	60.612	
			Passive Processing	85.735	
	Untenured, on tenure track	92	Interactivity with Peers	63.813	22.741
			Constructive Notetaking	43.297	
			Active Processing	72.600	
			Active Notetaking	63.496	
			Passive Processing	88.225	
	Untenured, not on tenure track	141	Interactivity with Peers	58.333	2.214
			Constructive Notetaking	60.697	
			Active Processing	67.967	
			Active Notetaking	60.697	
			Passive Processing	93.233	
<i>Class Structure</i>	Individual desks	334	Interactivity with Peers	46.806	1.442
			Constructive Notetaking	48.927	
			Active Processing	62.700	
			Active Notetaking	62.076	
			Passive Processing	84.007	
	Rows of tables	209	Interactivity with Peers	51.635	1.823
			Constructive Notetaking	59.649	
			Active Processing	67.663	
			Active Notetaking	61.324	
			Passive Processing	92.803	
	Pods of desks	96	Interactivity with Peers	62.717	2.690
			Constructive Notetaking	51.389	
			Active Processing	73.524	
			Active Notetaking	56.858	
			Passive Processing	89.757	

Table 8: Tukey results indicating significance of pairwise comparisons

	<i>SCCEI Factor</i>	<i>Pairwise Comparison</i>		<i>Mean</i>	<i>Std</i>	<i>t</i>	<i>p-value</i>	
				<i>Diff</i>	<i>Erro r</i>	<i>value</i>		
Classroom Structure	Interactivity with Peers	Pods of desks	Rows of tables	11.08	3.25	4.82	0.05**	
		Pods of desks	Individual desks	15.91	3.05	7.37	0.00**	
		Rows of tables	Individual desks	4.83	2.32	2.94	0.75	
	Constructive Notetaking	Pods of desks	Rows of tables	-8.26	3.25	3.59	0.41	
		Pods of desks	Individual desks	2.46	3.05	1.14	1.00	
		Rows of tables	Individual desks	10.72	2.32	6.52	0.00**	
	Active Processing	Pods of desks	Rows of tables	5.86	3.25	2.55	0.90	
		Pods of desks	Individual desks	10.82	3.05	5.02	0.03**	
		Rows of tables	Individual desks	4.96	2.32	3.02	0.71	
	Active Notetaking	Pods of desks	Rows of tables	-4.47	3.25	1.94	0.99	
		Pods of desks	Individual desks	-5.22	3.05	2.42	0.93	
		Rows of tables	Individual desks	-0.75	2.32	0.46	1.00	
	Passive Processing	Pods of desks	Rows of tables	-3.05	3.25	1.33	1.00	
		Pods of desks	Individual desks	5.75	3.05	2.66	0.86	
		Rows of tables	Individual desks	8.80	2.32	5.35	0.01**	
	Tenure Status	Interactivity with Peers	Untenured, not on track	Tenured	13.14	2.57	7.23	0.00**
			Untenured, not on track	Untenured, on track	-5.48	3.52	2.20	0.97
			Tenured	Untenured, on track	-18.62	3.04	8.67	0.00**
Constructive Notetaking		Untenured, not on track	Tenured	8.48	2.57	4.67	0.07	
		Untenured, not on track	Untenured, on track	17.40	3.52	6.98	0.00**	
		Tenured	Untenured, on track	8.92	3.04	4.16	0.18	
Active Processing		Untenured, not on track	Tenured	4.23	2.57	2.32	0.95	
		Untenured, not on track	Untenured, on track	-4.63	3.52	1.86	0.99	
		Tenured	Untenured, on track	-8.86	3.04	4.13	0.19	
Active Notetaking		Untenured, not on track	Tenured	0.09	2.57	0.05	1.00	
		Untenured, not on track	Untenured, on track	-2.80	3.52	1.12	1.00	
		Tenured	Untenured, on track	-2.88	3.04	1.34	1.00	
Passive Processing		Untenured, not on track	Tenured	7.50	2.57	4.13	0.19	
		Untenured, not on track	Untenured, on track	5.01	3.52	2.01	0.99	
		Tenured	Untenured, on track	-2.49	3.04	1.16	1.00	

**Significant at the 95% confidence interval

Classroom structure was considered as a potential influence on student cognitive engagement factors; it serves to reason that students may feel more or less comfortable in implementing particular types of learning activities based on the physical structure of the seating (e.g. discussions with classmates may be more frequent when students are seated in pods of desks). Students reported more significantly more interactivity when seated in pods than when seated in either individual desks or rows of tables. This supports reports of barriers suggested by instructors, which indicate that seats bolted to the floor makes interactivity more difficult (Dancy & Henderson, 2008). Additionally, students reported significantly higher Constructive Notetaking when seated at rows of desks than when in individual desks. Though the reason for this difference remains unclear, one possibility is students have more physical room available to them when seated at tables than at smaller desks. When in pods of desks, students reported significantly higher levels of Active Processing than when in individual desks. As can be seen in **Figure 1**, rows of tables exhibited advantages in notetaking, while exhibiting higher engagement scores (not always significantly) in nearly every category when compared with individual desks. Pods of desks resulted in lower (though not always significantly lower) engagement in modes that required notetaking; for modes of engagement where interaction or processing was required, mean scores of students in pods were at or near the greatest. These results arguably point to a need to minimize individual desks in classrooms, and instead provide rows of tables to facilitate student engagement through notetaking and pods to facilitate student engagement through interactivity.

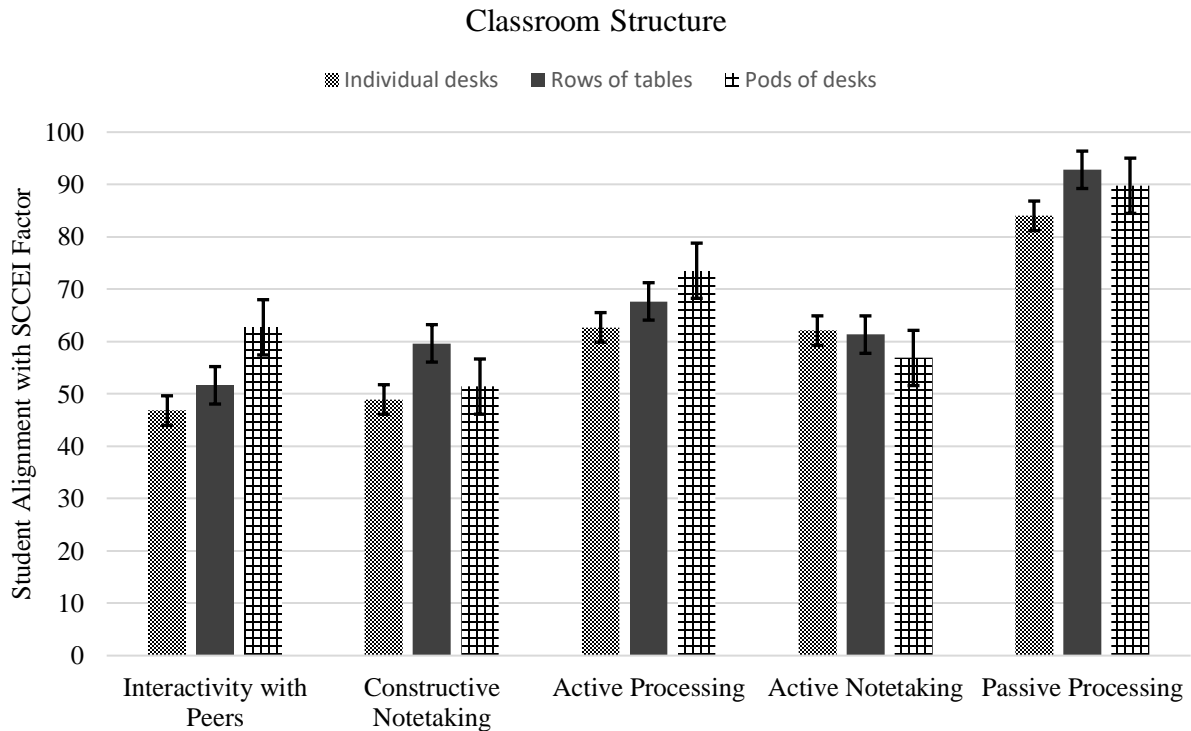


Figure 1: Variance in SCCEI factor means based on classroom structure.

Literature has suggested that tenure status influences how instructors implement learning strategies in their courses (Landrum et al., 2017); we considered that with these changes in instructional practices, students were likely to report differentiated modes of engagement. When students had instructors who were tenured, they reported significantly less Interactivity with Peers than students with untenured instructors (both on track and not on track). One plausible reason for this difference is tenured instructors may be integrated into departments where interactive classrooms are not the norm—this might create a barrier to implementing new learning strategies (Dancy & Henderson, 2008). One suggestion to increase implementation of best practices in post-tenured instructors is to include a professional peer review of teaching as part of the post-tenure review process (Henderson, Beach, & Finkelstein, 2011). Constructive Notetaking was reported as significantly lower by students when their instructors were untenured but on track, as compared

with untenured, not on track instructors. Untenured but on track instructors may feel the burden of research pressures in a way that untenured, not on track instructors do not. It has been noted that tenure track professors are more likely to perceive less institutional support for teaching and greater value on research (Landrum et al., 2017). Our results indicate that students' cognitive engagement is impacted by these pressures. For a visualization of these results, see **Figure 2**.

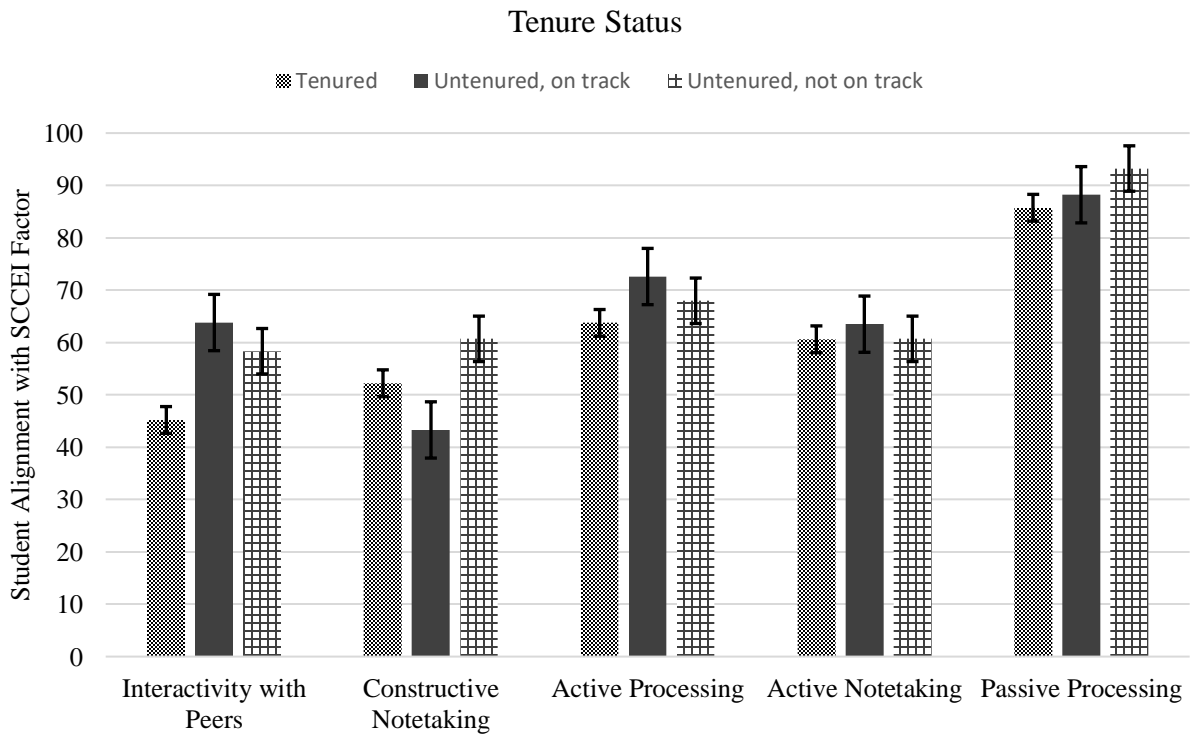


Figure 2: Variance in SCCEI factor means based on instructor tenure status

Correlations between Instruments

A correlation matrix resulting from a partial correlation analysis was conducted in SPSS version 25 using Pearson's r to indicate significance. The effect of class was removed by indicating class number as a control variable. The development of both the PIPS and SCCEI suggests some correlation between factors within either instrument; we therefore removed these correlations and significance from the matrix (e.g. correlation between PIPS factors Summative Assessment and

Formative Assessment are not shown). In **Table 9**, we present the correlation matrix for factors between the PIPS and SCCEI. Correlations represent the strength of the relationship between factors, ranging from -1 to 1. Larger negative values indicate a strong inverse relationship between the factors—as one factor increases, the other factor decreases. High positive numbers indicate that factors are directly correlated. Significance indicates the percent likelihood that the correlation is a result of error. Confidence intervals can be derived from converting significance into percentages and subtracting from 100%. At the 95% confidence interval, three correlations were found to be significant. At the 90% confidence interval, an additional correlation was observed to be significant.

Table 9: Partial correlation matrix of SCCEI and PIPS.

		<i>Content Delivery</i>	<i>Student Content Engagement</i>	<i>Formative Assessment</i>	<i>Student-Student Interactions</i>	<i>Summative Assessment</i>
<i>Interactivity with Peers</i>	Correlation	-0.153	-0.242	0.062	0.446	-0.308
	Significance (2-tailed)	0.545	0.334	0.808	0.064*	0.213
<i>Constructive Notetaking</i>	Correlation	0.140	-0.326	-0.301	0.020	0.306
	Significance (2-tailed)	0.579	0.187	0.224	0.937	0.216
<i>Active Processing</i>	Correlation	-0.017	-0.246	-0.295	0.276	-0.047
	Significance (2-tailed)	0.948	0.326	0.235	0.268	0.853
<i>Active Notetaking</i>	Correlation	0.768	-0.020	-0.103	-0.307	0.695
	Significance (2-tailed)	0.000**	0.936	0.684	0.215	0.001**
<i>Passive Processing</i>	Correlation	0.239	-0.273	-0.532	-0.290	0.134
	Significance (2-tailed)	0.339	0.273	0.023**	0.243	0.595

**Significant at the 95% confidence interval

*Significant at 90% confidence interval

Active Notetaking was seen to have a strong correlation with Content Delivery. We see this as evidence of agreeance in how instructors report on their practices and how students respond to them. Content Delivery items include *I guide students through major topics as they listen and take notes* and *My class sessions are structured to give students a good set of notes*; Active

Notetaking items include *I take verbatim notes (meaning word for word directly from the board/PowerPoint etc.)*. A positive correlation between the factors indicates that as instructors report stronger agreeance with items suggesting they provide students with structured notes, students likewise report increased frequency of copy notes from the board. Active Notetaking was also positively correlated with Summative Assessment at the 95% confidence interval. Summative assessment items include *My test questions contain well-defined problems with one correct solution*. Results suggest that as instructors report increasingly high agreeance with providing assessment with singular correct answers, students report increasing frequency of taking verbatim notes on course content. This aligns with work that suggests students' learning strategies are influenced by the assessment demands of the course (Lucas & Ramsden, 1992).

A negative correlation at the 95% confidence interval was observed between Passive Processing and Formative Assessment. Passive Processing items include *I follow along with the activities that take place during the course*, with high alignment indicating student frequently listen to instruction. Formative Assessment items include *I use student assessment results to guide the direction of my instruction during the semester*, with high alignment indicating soliciting feedback in the form of assessment is descriptive of the course. A negative correlation between Passive Processing and Formative Assessment reveals that as instructors increase their feedback in the form of assessment, their students report less alignment with listening or following along in class. While at the onset this may appear counterintuitive, we see an alignment with these findings and the literature. Just as Chi & Wylie suggested in their original work with ICAP, Passive Engagement is simply orientation towards instruction (2014). By definition, active learning goes beyond listening and is extended to higher-order learning through activity (Freeman et al., 2014). This is echoed in work surrounding formative assessment—as students are presented with assessment that

directs their learning, personal reflection and extension of knowledge is required (Kulasegaram & Rangachari, 2018). Here, we echo these findings and propose that as instructors are more aligned with Formative Assessment, their students will report lower frequencies of simply listening through Passive Processing in their courses.

At the 90% confidence interval, Interactivity with Peers and Student-Student Interactions was seen to be significant. Interactivity with Peers items included *I discuss my position with others regarding the course content*, and Student-Student Interactions items included *I structure class so that students constructively criticize one another's ideas*. This correlation is strong evidence for the direct influence of instructional practices on student cognitive engagement; as instructors reported that facilitating student interaction was descriptive of their courses, students reported meaningfully sharing their ideas with their peers. This supports work that indicates instructional activities can either support or inhibit collaboration in the classroom (Nokes-Malach et al., 2015). Furthermore, this suggests that both instruments are indeed measuring the same construct (interactivity in the classroom) with the respective factors. The correlation of a single construct measured by factors in two separate instruments points towards the usefulness in interpreting results based on the findings of multiple independent instruments.

Conclusions

STEM educators have been observed to be resistant to change, notably as they are prompted to implement research-based instructional practices (Henderson & Dancy, 2007). Active learning techniques to increase student cognitive engagement are well-researched instructional practices (Freeman et al., 2014; Prince, 2004; Smith et al., 2005)—practices the STEM education community sees great value in instructors implementing. Our research aligns itself with existing

work in the STEM education community on the development of instruments to measure both instructional practices (PIPS) and student cognitive engagement (SCCEI).

Researchers have suggested instructors need contextual understanding of how to implement strategies, lest they deem them ineffective (Hutchinson & Huberman, 1994). Others have suggested resistance to change emerges as instructors believe their students oppose researched-based strategies in the classroom—particularly interactivity with their peers (Henderson & Dancy, 2011). We utilized both the PIPS and SCCEI in an effort to provide instructors with a more holistic understanding of their courses by correlating their own report on their practices to their students' experience of them. Our results showed that indeed as instructors reported greater alignment with facilitating Student-Student Interactions, students reported higher alignment with lecture periods where they interacted with their peers (Interactivity with Peers). This may be one early step in aiding instructors in understanding the contextualization of instructional practices, while breaking down the notion that students are unwilling to engage interactively.

Dancy and Henderson also suggested that in order to facilitate change in instructional practices, STEM researchers ought to connect their models with models in their discipline and the broader STEM education research community (Dancy & Henderson, 2008). By utilizing previously developed instruments from the STEM community, we participated in a foundational movement towards connecting multiple outcomes of multiple research projects. The use of tools that already have evidence of validity not only adds credibility to such tools, findings are expanded. Here, we noted the significance of both class structure and tenure status on modes of student cognitive engagement. Our findings reinforced those found in literature that discussed the influence of tenure and classroom structure on instructors practices; such significance is supporting evidence of the interconnectivity of instructional practices and student cognitive engagement.

We found that Content Delivery was significantly correlated with Active Notetaking. This becomes important as the continual development of these instruments and others is considered. Though empirical validation is often extensive during the instrument development process—as it was for both the PIPS and SCCEI—clarity on the construct being measured can be overlooked. Using two instruments measuring related constructs in tandem allows for greater clarity on the construct measured by either instrument. Here, we gained a better understanding of what was actually being measured by Content Delivery and Active Notetaking due to their positive correlation—a compilation of instructors leading their students through content and them responding in turn by taking notes.

More broadly, the findings of this work suggest future instrument development ought to consider its alignment not just with instruments of measuring similar phenomena, but those measuring the related and influenced phenomena. While both the PIPS and SCCEI are independently useful to instructors, their use together tells more than either could apart. From the study, it was seen that students are responsive to their instructor's practices including assessment, content delivery, and peer work in class. Though student cognitive engagement and instructional practices are indeed individual constructs, their interconnectivity becomes important. As instructors, departments, and institutions seek to implement best practices in the classroom, a more holistic understanding of such constructs may be key. More work is needed to explore not only how cognitive engagement is related to instructional practice, but how other important constructs to STEM are related.

Limitations and Future Work

The small sample of this study inherently limits the reliability of the work. We do not make claims that the PIPS and SCCEI will always correlate in a consistent manner; instead, we present

evidence for continued study to better understand how these instruments, and others, may be utilized together to better understand STEM courses. To further validate the findings of this study, we suggest implementing the PIPS and SCCEI in a broad range of STEM courses and correlating the results. Furthermore, we support work with these instruments and others that measure related constructs, and the expansion of such work on to other related constructs across STEM.

Abbreviations

STEM (Science, Technology, Engineering, and Mathematics); PIPS (Postsecondary Instructional Practices Survey); SCCEI (Student Course Cognitive Engagement Instrument); ANOVA (Analysis of Variance)

Declarations

Ethics Approval and Consent to Participate

All ethics were overseen by the Institutional Review Board; participants were invited to consent to participate and allowed to opt out of participation with no consequence at any time.

Consent for Publication

All participants were informed that consent included agreeance to publication of finding in aggregate. No personalized data is shared in publication materials.

Availability of Data and Materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Competing Interests

removed for blind review

Funding

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Authors' Contributions

removed for blind review

Acknowledgements

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